

# Algebraicity of cycles on smooth manifolds

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**Abstract** According to the Nash–Tognoli theorem, each compact smooth manifold  $M$  is diffeomorphic to a nonsingular real algebraic set, called an algebraic model of  $M$ . It is interesting to investigate to what extent algebraic and differential topology of compact smooth manifolds can be transferred into the algebraic-geometric setting. Many results, examples and counterexamples depend on the detailed study of the homology classes represented by algebraic subsets of  $X$ , as  $X$  runs through the class of all algebraic models of  $M$ . The present paper contains several new results concerning such algebraic homology classes. In particular, a complete solution in codimension 2 and strong results in codimensions 3 and 4.

**Keywords** Real algebraic set · Algebraic homology class · Algebraic model of a smooth manifold

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## 1 Introduction and main results

There is a large research program whose goal is to transfer, as far as possible, algebraic and differential topology of compact smooth (of class  $C^\infty$ ) manifolds into the algebraic-geometric setting. The origins of this program go back to 1952 and the celebrated paper of J. Nash on real algebraic manifolds [53] (cf. also [16, Theorem 14.1.8]). Nash's result and conjectures inspired several mathematicians, but despite their efforts, no significant progress was made for 20 years (cf. [34] for historical

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remarks). A breakthrough came in 1973 due to Tognoli [63], who proved one of Nash's conjectures (cf. also [16, Theorem 14.1.10]). According to Tognoli's theorem, every compact smooth manifold  $M$  is diffeomorphic to a nonsingular real algebraic set (in  $\mathbb{R}^n$  for some  $n$ ), called an *algebraic model* of  $M$ . A projective version of this theorem was proved in 1976 by King [35]. Actually, both [63] and [35] contain much stronger results, concerning approximation of smooth manifolds by algebraic sets, as suggested in [53]. Remarkable refinements of [35, 63] can be found in the contributions from the 1980s and 1990s of two pairs of researchers, Akbulut–King [1–3, 6–8] and Benedetti–Tognoli [13, 14]. If some topological objects such as smooth submanifolds, vector bundles, homology or cohomology classes are attached to  $M$ , it is interesting to investigate whether or not there exists an algebraic model of  $M$  on which the corresponding objects admit an algebraic description. Important positive results are known for smooth submanifolds [2, 13] and vector bundles [13, 14]. Contrary to initial expectations, expressed explicitly in [2, 3], the situation is drastically different for homology and cohomology classes, where obstructions appear [12, 20, 41, 42, 61]. This on the one hand imposes limitations and on the other hand leads to challenging problems considered below.

Let  $X$  be a compact nonsingular real algebraic set. A homology class in  $H_d(X; \mathbb{Z}/2)$  is said to be *algebraic* if it can be represented by a  $d$ -dimensional algebraic subset of  $X$  (cf. [27] and [8, 16, 22]). The set  $H_d^{\text{alg}}(X; \mathbb{Z}/2)$  of all algebraic homology classes in  $H_d(X; \mathbb{Z}/2)$  forms a subgroup. Early papers dealing with algebraic homology classes provided examples of  $X$  with  $H_d^{\text{alg}}(X; \mathbb{Z}/2) \neq H_d(X; \mathbb{Z}/2)$  for some  $d$ ,  $1 \leq d \leq \dim X - 1$  (cf. [3, 14, 15, 36, 54, 57]). For technical reasons, it is often preferable to work with cohomology rather than homology. The subgroup  $H_{\text{alg}}^k(X; \mathbb{Z}/2)$  of *algebraic cohomology classes* in  $H^k(X; \mathbb{Z}/2)$  is by definition the inverse image of  $H_{n-k}^{\text{alg}}(X; \mathbb{Z}/2)$  under the Poincaré duality isomorphism  $H^k(X; \mathbb{Z}/2) \rightarrow H_{n-k}(X; \mathbb{Z}/2)$ , where  $n = \dim X$ . In particular,  $H_{\text{alg}}^n(X; \mathbb{Z}/2) = H^n(X; \mathbb{Z}/2)$ . The direct sum

$$H_{\text{alg}}^*(X; \mathbb{Z}/2) = \bigoplus_{k \geq 0} H_{\text{alg}}^k(X; \mathbb{Z}/2)$$

is a subring of the cohomology ring  $H^*(X; \mathbb{Z}/2)$ , containing the Stiefel–Whitney classes  $w_k(X)$  of  $X$  for  $k \geq 0$  (cf. [27] and, for purely topological proofs, [4, 15, 56]). Consequently,  $H_{\text{alg}}^*(X; \mathbb{Z}/2)$  contains the subring of  $H^*(X; \mathbb{Z}/2)$  generated by  $H^n(X; \mathbb{Z}/2)$  and  $w_k(X)$  for  $k \geq 0$ . What other, if any, cohomology classes belong to  $H_{\text{alg}}^*(X; \mathbb{Z}/2)$  depends in a very subtle way on the algebraic-geometric properties of  $X$  (cf. [21, 31, 49–51, 58, 65, 66]). The groups  $H_d^{\text{alg}}(-; \mathbb{Z}/2)$  and  $H_{\text{alg}}^k(-; \mathbb{Z}/2)$  are closely related via the cycle maps to the Chow groups of quasiprojective schemes over  $\mathbb{R}$  and to the equivariant cohomology of the set of complex points of such schemes (cf. [27, 28, 33, 36, 48, 66]). They play a crucial role in the research program described at the beginning (cf. [1, 3–5, 8–18, 20, 23–26, 37–48, 55, 56, 61, 64] and, for a short survey, [22]).

Numerous results, examples and counterexamples in the papers just cited required information on algebraic homology and cohomology classes on various algebraic

models of a given compact smooth manifold  $M$ . According to [19],  $M$  has an uncountable family of pairwise nonisomorphic algebraic models whenever  $\dim M \geq 1$ . However,  $M$  may not admit any algebraic model  $X$  with  $H_{\text{alg}}^*(X; \mathbb{Z}/2) = H^*(X; \mathbb{Z}/2)$  (see the remarks preceding Corollary 1.3). In order to avoid awkward repetitions, if  $X$  is an algebraic model of  $M$  and  $\varphi: X \rightarrow M$  is a smooth diffeomorphism, the pair  $(X, \varphi)$  will also be called an algebraic model of  $M$ . A subring  $A$  of  $H^*(M; \mathbb{Z}/2)$  (only subrings containing the identity element  $1 \in H^0(M; \mathbb{Z}/2)$  are considered) is said to be *algebraically realizable* if there exists an algebraic model  $(X, \varphi)$  of  $M$  with  $\varphi^*(A) \subseteq H_{\text{alg}}^*(X; \mathbb{Z}/2)$ . An important algebraically realizable subring of  $H^*(M; \mathbb{Z}/2)$  is identified in [13, Theorem 4, Remark 8]. It is the subring  $A(M)$  generated by the Stiefel–Whitney classes of all real vector bundles on  $M$  and the cohomology classes corresponding via the Poincaré duality to the homology classes represented by all compact smooth submanifolds of  $M$ . A conjecture posed in [12] asserts that every algebraically realizable subring of  $H^*(M; \mathbb{Z}/2)$  is contained in  $A(M)$ . The conjecture is true if  $\dim M \leq 7$ , but in higher dimensions, it is not even known whether or not there is a largest algebraically realizable subring of  $H^*(M; \mathbb{Z}/2)$  (cf. [41] for comments and conjectures).

The finer problem that of finding a characterization of the subrings  $A$  of  $H^*(M; \mathbb{Z}/2)$  for which there exists an algebraic model  $(X, \varphi)$  of  $M$  with  $\varphi^*(A) = H_{\text{alg}}^*(X; \mathbb{Z}/2)$  is wide open if  $\dim M \geq 3$  (it is trivial if  $\dim M \leq 1$ , while its solution readily follows from [42, Corollary 1.12] for  $M$  connected of dimension 2). The problem is unsolved even for  $A$  contained in  $A(M)$ , when there are no obstructions to algebraic realizability of  $A$ . This paper provides partial solutions for a large class of subrings of  $A(M)$  (cf. Theorems 1.1, 1.7, 2.10 and Corollaries 1.2, 1.4, 2.5, 1.8, 1.10).

The analogous problem of finding, for a fixed positive integer  $r$ , a characterization of the subgroups  $G$  of  $H^r(M; \mathbb{Z}/2)$  for which there exists an algebraic model  $(X, \varphi)$  of  $M$  with  $\varphi^*(G) = H_{\text{alg}}^r(X; \mathbb{Z}/2)$  is more tractable. It is completely solved in [17, Theorems 1.2 and 1.3] and [42, Corollary 1.12] for  $r = 1$ . The present paper contains a complete solution, under the assumption  $\dim M \geq 5$ , for  $r = 2$  (cf. Corollary 1.3) and several partial results for  $r \geq 3$  (cf. Corollaries 1.6, 1.9 and 1.11). A necessary condition for the existence of such model  $(X, \varphi)$  is that all cup products  $w_{i_1}(M) \cup \cdots \cup w_{i_p}(M)$  be in  $G$ , where  $i_1, \dots, i_p$  are nonnegative integers with  $i_1 + \cdots + i_p = r$ .

As the initial step, a suitable class of subrings of  $H^*(M; \mathbb{Z}/2)$  will be defined.

If  $h: M \rightarrow P$  is a smooth map into a compact smooth manifold  $P$ , then a standard transversality argument implies that  $h^*(A(P)) \subseteq A(M)$  (cf. also [27, Proposition 2.15]). A subring  $B$  of  $H^*(M; \mathbb{Z}/2)$  is said to be *full* if  $B = h^*(H^*(P; \mathbb{Z}/2))$  for some  $h: M \rightarrow P$  with  $A(P) = H^*(P; \mathbb{Z}/2)$ . Every full subring is contained in  $A(M)$ .

For any collection  $F$  of real vector bundles on  $M$ , the subring  $F(M)$  generated by the Stiefel–Whitney classes of the vector bundles in  $F$  is a full subring of  $H^*(M; \mathbb{Z}/2)$ . Indeed, the collection  $F$  can be assumed to be finite, the set  $H^*(M; \mathbb{Z}/2)$  being finite, and hence, the assertion readily follows by making use of smooth classifying maps and Künneth’s theorem (cf. [30, 32, 59]).

For any subring  $B$  and any subset  $T$  of  $H^*(M; \mathbb{Z}/2)$ , let  $B[T]$  denote the extension of  $B$  by  $T$ , that is, the subring of  $H^*(M; \mathbb{Z}/2)$  generated by  $B$  and  $T$ . A cohomology class in  $H^*(M; \mathbb{Z}/2)$  will be called *regular* if it corresponds via the Poincaré duality

to a homology class in  $H_*(M; \mathbb{Z}/2)$  represented by a compact smooth submanifold of  $M$ . The subset  $T$  will be called *regular* if each cohomology class in  $T$  is regular. A subring of  $H^*(M; \mathbb{Z}/2)$  that is the extension of a full subring by a regular subset is said to be *admissible*. An admissible subring  $A$  is said to be *r-admissible*, where  $r$  is a nonnegative integer, if it can be written as  $A = B[T]$  for some full subring  $B$  and some regular subset  $T$ , with  $T$  disjoint from  $H^i(M; \mathbb{Z}/2)$  for  $0 \leq i \leq r - 1$ . Thus, admissible is the same as 0-admissible. By a transversality argument, each admissible subring  $A$  can be written as  $A = F(M)[T]$ , where  $F$  is a finite collection of real vector bundles and  $T$  is a regular subset. In particular, the definitions of an admissible subring used here and in [45] are equivalent. The largest admissible subring is  $A(M)$ . If  $\dim M \leq 5$ , then each cohomology class in  $H^*(M; \mathbb{Z}/2)$  is regular [62, Théorème II.26], and hence, every subring of  $H^*(M; \mathbb{Z}/2)$  is admissible.

Relationships between admissible subrings and  $H_{\text{alg}}^*(-; \mathbb{Z}/2)$  are investigated below. The main results, whose proofs are postponed until Sect. 2, are Theorems 1.1 and 1.7. Their significance is elaborated upon in a series of corollaries. Some simple topological facts, contained in Proposition 1.12, are also required for the derivation of the corollaries.

As usual, the  $i$ th Steenrod square operation will be denoted by  $\text{Sq}^i$ . Only  $\text{Sq}^1$  is used in Sect. 1.

For any nonnegative integer  $k$  and any subring  $A$  of  $H^*(M; \mathbb{Z}/2)$ , let

$$A^k := A \cap H^k(M; \mathbb{Z}/2).$$

**Theorem 1.1** *Let  $M$  be a compact connected smooth manifold and let  $r$  be a positive integer with  $2r + 1 \leq \dim M$ . For an  $r$ -admissible subring  $A$  of  $H^*(M; \mathbb{Z}/2)$  with  $A^i = 0$  for  $1 \leq i \leq r - 2$ , the following conditions are equivalent:*

(a) *There exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying*

$$\varphi^*(A) \subseteq H^*(X; \mathbb{Z}/2) \quad \text{and} \quad \varphi^*(A^k) = H_{\text{alg}}^k(X; \mathbb{Z}/2) \quad \text{for } 0 \leq k \leq r.$$

(b)  *$w_k(M)$  is in  $A^k$  for  $0 \leq k \leq r$ .*

Of course, the condition  $A^i = 0$  for  $1 \leq i \leq r - 2$  is vacuous if  $r = 1$  or  $r = 2$ . If  $r = 1$ , then Theorem 1.1 is a minor improvement upon [17, Theorems 1.2 and 1.3]. The case  $r = 2$  is much more interesting.

**Corollary 1.2** *Let  $M$  be a compact connected smooth manifold of dimension at least 5. For an admissible subring  $A$  of  $H^*(M; \mathbb{Z}/2)$ , the following conditions are equivalent:*

(a) *There exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying*

$$\varphi^*(A) \subseteq H_{\text{alg}}^*(X; \mathbb{Z}/2) \quad \text{and} \quad \varphi^*(A^k) = H_{\text{alg}}^k(X; \mathbb{Z}/2) \quad \text{for } k = 0, 1, 2.$$

(b)  *$w_k(M)$  is in  $A^k$  for  $k = 0, 1, 2$ .*

*Proof* According to Proposition 1.12(p<sub>1</sub>), each admissible subring is 2-admissible, and hence, it suffices to apply Theorem 1.1 with  $r = 2$ .  $\square$

Corollary 1.2 was proved in [45] for  $M$  with homology group  $H_{m-2}(M; \mathbb{Z})$  having no 2-torsion, where  $m = \dim M$ . This additional assumption removed the main difficulty in the proof.

It is interesting to extract from Corollary 1.2 and previously known results information on the behavior of  $H_{\text{alg}}^2(-; \mathbb{Z}/2)$ . Let  $A^r(M) := A(M)^r$ . According to [20, 61], for any compact smooth manifold  $M$ , the group  $A^2(M)$  can be described as follows:  $A^2(M) = W^2(M)$ , where

$$W^2(M) := \{v \in H^2(M; \mathbb{Z}/2) \mid v = w_2(\xi) \text{ for some real vector bundle } \xi \text{ on } M \text{ with } w_1(\xi) = 0\}$$

and  $w_k(\xi)$  denotes the  $k$ th Stiefel–Whitney class of  $\xi$  for  $k \geq 0$ . Thus,  $W^2(M) = H^2(M; \mathbb{Z}/2)$  if  $\dim M \leq 5$ . However, for each integer  $n \geq 6$ , there exists an  $n$ -dimensional compact connected smooth manifold  $N$  with  $W^2(N) \neq H^2(N, \mathbb{Z}/2)$  [61]. On the other hand,

$$H_{\text{alg}}^2(X; \mathbb{Z}/2) \subseteq W^2(X)$$

for every compact nonsingular real algebraic set  $X$  (cf. [12, 18] and, for an elementary topological proof, [23]). In particular,  $H_{\text{alg}}^2(Y; \mathbb{Z}/2) \neq H^2(Y; \mathbb{Z}/2)$  for every algebraic model  $Y$  of  $N$ .

**Corollary 1.3** *Let  $M$  be a compact connected smooth manifold of dimension at least 5. For a subgroup  $G$  of  $H^2(M; \mathbb{Z}/2)$ , the following conditions are equivalent:*

(a) *There exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying*

$$\varphi^*(G) = H_{\text{alg}}^2(X; \mathbb{Z}/2).$$

(b)  *$w_1(M) \cup w_1(M)$  and  $w_2(M)$  are in  $G$ , and  $G \subseteq W^2(M)$ .*

*Proof* If (a) holds, then  $w_1(X) \cup w_1(X)$  and  $w_2(X)$  belong to  $\varphi^*(G)$ , and  $\varphi^*(G) \subseteq W^2(X)$ . Hence, (b) follows.

Suppose that (b) holds. For each cohomology class  $v$  in  $W^2(M)$ , let  $\xi_v$  be a real vector bundle on  $M$  with  $w_1(\xi_v) = 0$  and  $w_2(\xi_v) = v$ . Let  $F$  be the collection consisting of the tangent bundle to  $M$  and the  $\xi_v$  for  $v$  in  $G$ . The subring  $A := F(M)$  of  $H^*(M, \mathbb{Z}/2)$  is admissible,  $A^2 = G$ , and  $w_i(M)$  is in  $A^i$  for  $i \geq 0$ . Hence, Corollary 1.2 implies that (a) is satisfied.  $\square$

Corollary 1.3 was already conjectured in [20], but proved there only for  $M$  orientable, that is,  $w_1(M) = 0$ . In [40], Corollary 1.3 was proved under very restrictive assumptions on the group  $H^{m-2}(M; \mathbb{Z}/2)$ , where  $m = \dim M$ . The methods used in [20, 40] do not work without these extra hypotheses.

There is also a version of Corollary 1.2 for an arbitrary, not necessarily admissible, subring.

**Corollary 1.4** *Let  $M$  be a compact connected smooth manifold of dimension at least 5. For a subring  $A$  of  $H^*(M; \mathbb{Z}/2)$ , the following conditions are equivalent:*

(a) *There exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying*

$$\varphi^*(A^k) = H_{\text{alg}}^k(X; \mathbb{Z}/2) \text{ for } k = 0, 1, 2.$$

(b)  *$w_k(M)$  is in  $A^k$  for  $k = 0, 1, 2$ , and  $A^2 \subseteq W^2(M)$ .*

*Proof* It is already explained that (a) implies (b).

Suppose now that (b) holds. Each cohomology class  $u$  in  $H^1(M; \mathbb{Z}/2)$  can be written as  $u = w_1(\gamma_u)$  for some real line bundle  $\gamma_u$  on  $M$ . Similarly, each cohomology class  $v$  in  $W^2(M)$  can be written as  $v = w_2(\xi_v)$  for some real vector bundle  $\xi_v$  on  $M$  with  $w_1(\xi_v) = 0$ . Let  $F$  be the collection consisting of  $\gamma_u$  for  $u$  in  $A^1$ ,  $\xi_v$  for  $v$  in  $A^2$  and the tangent bundle to  $M$ . The subring  $C := F(M)$  of  $H^*(M; \mathbb{Z}/2)$  is admissible with  $C^k = A^k$  for  $k = 0, 1, 2$ . Corollary 1.2 applied to the subring  $C$  implies (a).  $\square$

Theorem 1.1 with  $r = 3$  implies the following:

**Corollary 1.5** *Let  $M$  be a compact connected orientable smooth manifold of dimension at least 7. For an admissible subring  $A$  of  $H^*(M; \mathbb{Z}/2)$  with  $A^1 = 0$ , the following conditions are equivalent:*

(a) *There exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying*

$$\varphi^*(A) \subseteq H_{\text{alg}}^*(X; \mathbb{Z}/2) \text{ and } \varphi^*(A^k) = H_{\text{alg}}^k(X; \mathbb{Z}/2) \text{ for } k = 0, 1, 2, 3.$$

(b)  *$w_i(M)$  is in  $A^i$  for  $i = 2, 3$ , and  $\text{Sq}^1(A^2) \subseteq A^3$ .*

*Proof* According to [4, Theorem 6.6],  $\text{Sq}^1(H_{\text{alg}}^2(-; \mathbb{Z}/2)) \subseteq H_{\text{alg}}^3(-; \mathbb{Z}/2)$ , and therefore, (a) implies (b).

Suppose now that (b) holds. By Proposition 1.12(p<sub>2</sub>), there exists a 3-admissible subring  $\bar{A}$  of  $H^*(M; \mathbb{Z}/2)$  such that  $A \subseteq \bar{A}$  and  $A^k = \bar{A}^k$  for  $k = 0, 1, 2, 3$ . The orientability of  $M$  implies  $w_1(M) = 0$ . Hence, (a) follows by applying Theorem 1.1 with  $r = 3$  to the subring  $\bar{A}$ .  $\square$

It would be interesting, but very hard, to extend Corollary 1.3 to subgroups of  $H^r(M; \mathbb{Z}/2)$  with  $r \geq 3$ . The following partial result is available.

**Corollary 1.6** *Let  $M$  be a compact connected smooth manifold and let  $r \geq 3$  be an integer with  $2r + 1 \leq \dim M$ . Assume that  $w_i(M) = 0$  for  $1 \leq i \leq r - 2$ . If  $G$  is a subgroup of  $H^r(M; \mathbb{Z}/2)$  generated by some regular cohomology classes and  $w_r(M)$ , then there exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying  $\varphi^*(G) = H_{\text{alg}}^r(X; \mathbb{Z}/2)$ .*

*Proof* The subring  $A$  of  $H^*(M; \mathbb{Z}/2)$  generated by  $G$  and the cohomology classes  $w_k(M)$  for  $k \geq 0$  is  $r$ -admissible. Moreover,  $A^i = 0$  for  $1 \leq i \leq r - 2$  and  $A^r = G$ . It remains to apply Theorem 1.1.  $\square$

If  $r = 3$  in Corollary 1.6, then the condition  $w_i(M) = 0$  for  $1 \leq i \leq r - 2$  is equivalent to the orientability of  $M$ .

**Theorem 1.7** *Let  $M$  be a compact connected smooth manifold whose homology group  $H_{r-1}(M; \mathbb{Z})$  has no 2-torsion for some integer  $r \geq 3$  with  $2r + 1 \leq \dim M$ . Let  $A$  be an  $r$ -admissible subring of  $H^*(M; \mathbb{Z}/2)$  with  $A^i = 0$  for  $1 \leq i \leq r - 4$ . Assume that  $w_j(M)$  is in  $A^j$  for  $0 \leq j \leq r$ . Then, there exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying*

$$\begin{aligned} \varphi^*(A) &\subseteq H_{\text{alg}}^*(X; \mathbb{Z}/2) \quad \text{and} \\ \varphi^*(A^k) &= H_{\text{alg}}^k(X; \mathbb{Z}/2) \quad \text{for } k \in \{0, 1, \dots, r-2, r\} \cup \{2\}. \end{aligned}$$

Moreover, the last equality holds for  $0 \leq k \leq r$  if  $r \geq 4$ , and either the homology group  $H_{r-2}(M; \mathbb{Z})$  has no 2-torsion or  $A^{r-3} = 0$ .

Clearly, the condition  $A^i = 0$  for  $1 \leq i \leq r - 4$  is vacuous if  $r = 3$  or  $r = 4$ . The case  $r = 3$  is of particular interest.

**Corollary 1.8** *Let  $M$  be a compact connected smooth manifold of dimension at least 7, whose homology group  $H_2(M; \mathbb{Z})$  has no 2-torsion. For an admissible subring  $A$  of  $H^*(M; \mathbb{Z}/2)$ , the following conditions are equivalent:*

(a) *There exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying*

$$\varphi^*(A) \subseteq H_{\text{alg}}^*(X; \mathbb{Z}/2) \quad \text{and} \quad \varphi^*(A^k) = H_{\text{alg}}^k(X; \mathbb{Z}/2) \quad \text{for } k = 0, 1, 2, 3.$$

(b)  *$w_k(M)$  is in  $A^k$  for  $k = 0, 1, 2, 3$ .*

*Proof* It suffices to prove that (b) implies (a). According to Proposition 1.12(p<sub>3</sub>), the subring  $A$  is 3-admissible, and hence, it suffices to apply Theorem 1.7 with  $r = 3$ .  $\square$

A much weaker version of Corollary 1.8 was proved in [45] for a spin manifold  $M$  whose homology group  $H_i(M; \mathbb{Z})$  has no 2-torsion for  $i = 1, 2$ . By definition,  $M$  is a spin manifold if  $w_1(M) = 0$  and  $w_2(M) = 0$ , which automatically implies  $w_3(M) = 0$  (cf. [52, p. 94]).

**Corollary 1.9** *Let  $M$  be a compact connected smooth manifold of dimension at least 7, whose homology group  $H_2(M; \mathbb{Z})$  has no 2-torsion. For an admissible subring  $A$  of  $H^*(M; \mathbb{Z}/2)$ , the following conditions are equivalent:*

(a) *There exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying*

$$\varphi^*(A^3) = H_{\text{alg}}^3(X; \mathbb{Z}/2).$$

(b)  *$w_1(M) \cup w_1(M) \cup w_1(M)$ ,  $w_1(M) \cup w_2(M)$  and  $w_3(M)$  are in  $A^3$ .*

*Proof* It is already known that (a) implies (b).

Suppose now that (b) holds. According to Proposition 1.12(p<sub>3</sub>), the subgroup  $A^3$  of  $H^3(M; \mathbb{Z}/2)$  is generated by regular cohomology classes. Hence, the subring  $C$  of  $H^*(M; \mathbb{Z}/2)$  generated by  $A^3$  and  $w_i(M)$  for  $i \geq 0$  is admissible and  $C^3 = A^3$ . Condition (a) follows by applying Corollary 1.8 to the subring  $C$ .  $\square$

Theorem 1.7 with  $r = 4$  takes the following form:



**Corollary 1.10** *Let  $M$  be a compact connected smooth manifold of dimension at least 9, whose homology group  $H_3(M; \mathbb{Z})$  has no 2-torsion. For a 4-admissible subring  $A$  of  $H^*(M; \mathbb{Z}/2)$ , the following conditions are equivalent:*

(a) *There exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying*

$$\varphi^*(A) \subseteq H_{\text{alg}}^k(X; \mathbb{Z}/2) \quad \text{and} \quad \varphi^*(A^k) = H_{\text{alg}}^k(X; \mathbb{Z}/2) \quad \text{for } k = 0, 1, 2, 4.$$

(b)  *$w_j(M)$  is in  $A^j$  for  $j = 0, 1, 2, 3, 4$ .*

*Moreover,  $k = 3$  can be added in condition (a) if either the homology group  $H_2(M; \mathbb{Z})$  has no 2-torsion or  $A^1 = 0$ .*

*Proof* Since the ring  $A$  is 4-admissible, it readily follows that  $\text{Sq}^1(A^2) \subseteq A^3$ . By Wu's formula [52, p. 94],  $\text{Sq}^1(w_2(M)) = w_1(M) \cup w_2(M) + w_3(M)$ . Consequently, if  $w_j(M)$  is in  $A^j$  for  $j = 1, 2$ , then  $w_3(M)$  is in  $A^3$ . If (a) holds, then  $w_j(M)$  is in  $A^j$  for  $j = 0, 1, 2, 4$ , and hence, (b) is satisfied. According to Theorem 1.7 with  $r = 4$ , condition (b) implies (a).  $\square$

It is an open problem whether or not Corollary 1.10 remains true if the homology group  $H_i(M; \mathbb{Z})$  has no 2-torsion for  $i = 2, 3$  and the subring  $A$  is admissible, but not necessarily 4-admissible. No result similar to Corollary 1.10 is available in the literature.

Under an additional assumption on  $M$ , Corollary 1.6 can be strengthened as follows.

**Corollary 1.11** *Let  $M$  be a compact connected smooth manifold whose homology group  $H_{r-1}(M; \mathbb{Z})$  has no 2-torsion for some integer  $r \geq 3$  with  $2r + 1 \leq \dim M$ . Let  $G$  be a subgroup of  $H^r(M; \mathbb{Z}/2)$  generated by some regular cohomology classes and all cup products  $w_{i_1}(M) \cup \dots \cup w_{i_p}(M)$ , where  $i_1, \dots, i_p$  are nonnegative integers with  $i_1 + \dots + i_p = r$ . If  $w_i(M) = 0$  for  $1 \leq i \leq r - 4$ , then there exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying  $\varphi^*(G) = H_{\text{alg}}^r(X; \mathbb{Z}/2)$ .*

*Proof* The subring  $A$  of  $H^*(M; \mathbb{Z}/2)$  generated by  $G$  and  $w_j(M)$  for  $j \geq 0$  is  $r$ -admissible,  $A^i = 0$  for  $1 \leq i \leq r - 4$ , and  $A^r = G$ . Hence, it suffices to apply Theorem 1.7.  $\square$

In Corollary 1.11, the condition  $w_i(M) = 0$  for  $1 \leq i \leq r - 4$  is vacuous if  $r = 3$  or  $r = 4$ , while it is equivalent to the orientability of  $M$  if  $r = 5$ . It follows from Proposition 1.12(p<sub>3</sub>) that Corollary 1.9 is equivalent to Corollary 1.11 with  $r = 3$ .

The properties of admissible rings used in the proofs of the corollaries above are contained in the following:

**Proposition 1.12** *Let  $M$  be a compact connected smooth manifold. Any admissible subring  $M$  of  $H^*(M; \mathbb{Z}/2)$  has the following properties:*

- (p<sub>1</sub>)  *$A$  is 2-admissible.*
- (p<sub>2</sub>) *If  $\text{Sq}^1(A^2) \subseteq A^3$ , then there exists a 3-admissible subring  $\overline{A}$  of  $H^*(M; \mathbb{Z}/2)$  satisfying  $A \subseteq \overline{A}$  and  $A^i = \overline{A}^i$  for  $i = 0, 1, 2, 3$ .*
- (p<sub>3</sub>) *If the homology group  $H_2(M; \mathbb{Z})$  has no 2-torsion, then  $A$  is 3-admissible and the subgroup  $A^3$  of  $H^3(M; \mathbb{Z}/2)$  is generated by regular cohomology classes.*



*Proof* By Künneth's theorem, each subring of  $H^*(M; \mathbb{Z}/2)$  that is generated by two full subrings is also full.

The admissible subring  $A$  can be written as  $A = B[T]$ , where  $B$  is a full subring and  $T$  is a regular subset of  $H^*(M; \mathbb{Z}/2)$ . Let  $T^i := T \cap H^i(M; \mathbb{Z}/2)$  for  $i \geq 0$ . One has  $A^0 = B^0 = H^0(M; \mathbb{Z}/2)$ , the manifold  $M$  being connected, and hence, it can be assumed that  $T^0 = \emptyset$ .

For each cohomology class  $u$  in  $H^1(M; \mathbb{Z}/2)$ , let  $\gamma_u$  be a real line bundle on  $M$  with  $w_1(\gamma_u) = u$ . Let  $F_1 := \{\gamma_u \mid u \in T^1\}$ . The subring  $B(F_1)$  of  $H^*(M; \mathbb{Z}/2)$  generated by  $B$  and  $F_1(M)$  is full. Property  $(p_1)$  follows since  $A = B(F_1)[T \setminus T^1]$ .

According to Wu's formula [52, p. 94], for each real vector bundle  $\xi$  on  $M$ ,

$$\text{Sq}^1(w_2(\xi)) = w_1(\xi) \cup w_2(\xi) + w_3(\xi). \quad (*)$$

For each cohomology class  $v$  in  $W^2(M)$ , let  $\xi_v$  be a real vector bundle on  $M$  with  $w_1(\xi_v) = 0$  and  $w_2(\xi_v) = v$ . The admissibility of  $A$  implies that  $A^2$  is contained in  $A^2(M) = W^2(M)$ . In particular, the set  $F_2 := \{\xi_v \mid v \in T^2\}$  is well defined. The subring  $B(F_1, F_2)$  of  $H^*(M; \mathbb{Z}/2)$  generated by  $B$  and  $(F_1 \cup F_2)(M)$  is full, and the subring  $\bar{A} := B(F_1, F_2)[T \setminus (T^1 \cup T^2)]$  is 3-admissible. Moreover,  $A \subseteq \bar{A}$  and  $A^i = \bar{A}^i$  for  $i = 0, 1, 2$ . If  $\text{Sq}^1(A^2) \subseteq A^3$ , then  $(*)$  with  $\xi = \xi_v$  implies that  $w_3(\xi_v) = \text{Sq}^1(v)$  is in  $A^3$  for  $v$  in  $T^2$ . Consequently,  $A^3 = \bar{A}^3$ . Property  $(p_2)$  is proved.

Suppose now that the homology group  $H_2(M; \mathbb{Z})$  has no 2-torsion. According to the universal coefficient theorem, the cohomology group  $H^3(M; \mathbb{Z})$  has no 2-torsion and the reduction modulo 2 homomorphism  $\rho: H^2(M; \mathbb{Z}) \rightarrow H^2(M; \mathbb{Z}/2)$  is surjective. For each cohomology class  $z$  in  $H^2(M; \mathbb{Z})$ , let  $\lambda_z$  be a complex line bundle on  $M$  whose first Chern class is  $z$ . Regarding  $\lambda_z$  as a rank 2 real vector bundle, one gets  $w_1(\lambda_z) = 0$  and  $w_2(\lambda_z) = \rho(z)$ . Consequently,  $W^2(M) = H^2(M; \mathbb{Z}/2)$ , and it can be assumed that for each  $v$  in  $H^2(M; \mathbb{Z}/2)$ , the vector bundle  $\xi_v$  above is of rank 2. In particular,  $w_j(\xi_v) = 0$  for  $j \geq 3$ . It follows that then  $A$  is equal to the subring  $\bar{A}$  constructed above, and hence,  $A$  is 3-admissible. It remains to prove that  $A^3$  is generated by regular cohomology classes. Each cohomology class in  $H^1(M, \mathbb{Z}/2)$  is regular. Similarly, each cohomology class  $v$  in  $H^2(M; \mathbb{Z}/2)$  is regular since it is Poincaré dual to the homology class represented by the zero locus of an arbitrary smooth section of  $\xi_v$  that is transverse to the zero section (cf. [27, Proposition 2.15]). The homomorphism  $\text{Sq}^1: H^2(M; \mathbb{Z}/2) \rightarrow H^3(M; \mathbb{Z}/2)$  is zero, the homomorphism  $\rho$  being surjective [52, p. 182], and hence,  $(*)$  gives  $w_3(\xi) = w_1(\xi) \cup w_2(\xi)$ . The proof is complete since cup product of regular cohomology classes is a regular class.  $\square$

**Convention** Henceforth, smooth submanifolds are assumed to be closed subsets of the ambient manifold.

## 2 Proofs and further results

The language of real algebraic geometry, as in [16], is used throughout this section. The term *real algebraic variety* designates a locally ringed space isomorphic to an algebraic subset of  $\mathbb{R}^n$ , for some  $n$ , endowed with the Zariski topology and the sheaf

of real-valued regular functions (such objects are called affine real algebraic varieties in [16]). The Grassmannian  $\mathbb{G}_{n,r}(\mathbb{R})$  of  $r$ -dimensional vector subspaces of  $\mathbb{R}^n$  is a real algebraic variety in this sense [16, Theorem 3.4.4]. Morphisms between real algebraic varieties are called *regular maps*. Every real algebraic variety carries also the Euclidean topology, which is induced by the usual metric on  $\mathbb{R}$ . Unless explicitly stated otherwise, all topological notions relating to real algebraic varieties refer to the Euclidean topology.

A topological real vector bundle on a real algebraic variety  $X$  is said to admit an *algebraic structure* if it is isomorphic to an algebraic subbundle of the trivial vector bundle on  $X$  with total space  $X \times \mathbb{R}^p$  for some  $p$ .

For any smooth manifolds  $N$  and  $P$ , the space of smooth maps  $\mathcal{C}^\infty(N, P)$  is endowed with the  $\mathcal{C}^\infty$  topology [30]. The source manifold will always be assumed to be compact, and hence, the weak  $\mathcal{C}^\infty$  topology coincides with the strong one. The unoriented bordism group of  $P$  is denoted by  $\mathfrak{N}_*(P)$ . If  $W$  is a nonsingular real algebraic variety, then a bordism class in  $\mathfrak{N}_*(W)$  is said to be *algebraic*, provided that it can be represented by a regular map from a compact nonsingular real algebraic variety into  $W$ . The set  $\mathfrak{N}_*^{\text{alg}}(W)$  of all algebraic bordism classes in  $\mathfrak{N}_*(W)$  forms a subgroup.

The main approximation theorem of real algebraic geometry, in the form most suitable for this paper, will be recalled first. It is just a reformulation of very similar results proved in [1, 8, 13, 14, 64].

**Theorem 2.1** (cf. [42, Theorem 4.4]) *Let  $M$  be a compact smooth submanifold of  $\mathbb{R}^n$  and let  $W$  be a nonsingular real algebraic variety. Let  $f: M \rightarrow W$  be a smooth map whose bordism class in  $\mathfrak{N}_*(W)$  is algebraic. Suppose that  $M$  contains a (possibly empty) subset  $Z$  which is the union of finitely many nonsingular algebraic subsets of  $\mathbb{R}^n$ ,  $f|_Z: Z \rightarrow W$  is a regular map, and the restriction to  $Z$  of the tangent bundle of  $M$  admits an algebraic structure. If  $2 \dim M + 1 \leq n$ , then there exists a smooth embedding  $e: M \rightarrow \mathbb{R}^n$ , a nonsingular algebraic subset  $X$  of  $\mathbb{R}^n$ , and a regular map  $g: X \rightarrow W$  such that  $X = e(M)$ ,  $Z \subseteq X$ ,  $e|_Z: Z \rightarrow \mathbb{R}^n$  is the inclusion map,  $g|_Z = f|_Z$ , and  $g \circ \bar{e}$  is homotopic to  $f$ , where  $\bar{e}: M \rightarrow X$  is the smooth diffeomorphism defined by  $\bar{e}(x) = e(x)$  for all  $x$  in  $M$ . Furthermore, given a neighborhood  $\mathcal{U}$  of the inclusion map  $M \hookrightarrow \mathbb{R}^n$  in the space  $\mathcal{C}^\infty(M, \mathbb{R}^n)$  and a neighborhood  $\mathcal{V}$  of  $f$  in  $\mathcal{C}^\infty(M, W)$ , the objects  $e, X, g$  can be chosen in such a way that  $e$  is in  $\mathcal{U}$  and  $g \circ \bar{e}$  is in  $\mathcal{V}$ .*

In favorable situations, the bordism condition in Theorem 2.1 is automatically satisfied.

**Proposition 2.2** *Let  $V$  and  $W$  be compact nonsingular real algebraic varieties. Then:*

- (i)  $\mathfrak{N}_*^{\text{alg}}(V) = \mathfrak{N}_*(V)$  if and only if  $H_*^{\text{alg}}(V; \mathbb{Z}/2) = H_*(V; \mathbb{Z}/2)$ .
- (ii) The equality  $H_*^{\text{alg}}(V \times W; \mathbb{Z}/2) = H_*(V \times W; \mathbb{Z})$  holds, provided that  $H_*^{\text{alg}}(V; \mathbb{Z}/2) = H_*(V; \mathbb{Z}/2)$  and  $H_*^{\text{alg}}(W; \mathbb{Z}/2) = H_*(W; \mathbb{Z}/2)$ .

Moreover,  $H_*^{\text{alg}}(\mathbb{G}_{n,r}(\mathbb{R}); \mathbb{Z}/2) = H_*(\mathbb{G}_{n,r}(\mathbb{R}); \mathbb{Z}/2)$ .

*Proof* Condition (i) is a consequence of deep results from topology (cf. [8, Lemma 2.7.1]). Condition (ii) follows from Künneth's theorem. The last assertion is a standard fact (cf. [16, Proposition 11.3.3]).  $\square$

The result that will be recalled next is used in constructions of nonalgebraic cohomology classes. For any compact nonsingular real algebraic variety  $X$ , let  $\text{Alg}^k(X)$  denote the set of all elements  $u$  in  $H^k(X; \mathbb{Z}/2)$  for which there exist a compact nonsingular irreducible real algebraic variety  $T$ , two points  $t_0$  and  $t_1$  in  $T$  and the cohomology class  $z$  in  $H_{\text{alg}}^k(X \times T; \mathbb{Z}/2)$  such that

$$u = i_{t_1}^*(z) - i_{t_0}^*(z),$$

where  $i_t: X \rightarrow X \times T$  is defined by  $i_t(x) = (x, t)$  for  $t \in T$  and  $x \in X$ . An equivalent description of  $\text{Alg}^k(X)$ , which immediately implies that  $\text{Alg}^k(X)$  is a subgroup of  $H_{\text{alg}}^k(X; \mathbb{Z}/2)$ , is given in [38, 40]. The groups  $H_{\text{alg}}^k(-; \mathbb{Z}/2)$  and  $\text{Alg}^k(-)$  have the expected functorial property. If  $f: X \rightarrow Y$  is a regular map between compact nonsingular real algebraic varieties, then the induced homomorphism  $f^*: H^*(Y; \mathbb{Z}/2) \rightarrow H^*(X; \mathbb{Z}/2)$  satisfies

$$f^*(H_{\text{alg}}^k(Y; \mathbb{Z}/2)) \subseteq H_{\text{alg}}^k(X; \mathbb{Z}/2) \quad \text{and} \quad f^*(\text{Alg}^k(Y)) \subseteq \text{Alg}^k(X)$$

(cf. [27, Section 5] or [4, 15] for the former inclusion and [40] for the latter).

*Example 2.3* Let  $\Sigma$  be an irreducible nonsingular real algebraic variety with precisely two connected components  $\Sigma_0$  and  $\Sigma_1$ , each diffeomorphic to the unit circle. For example, one can take

$$\Sigma = \{(x_1, x_2) \in \mathbb{R}^2 \mid x_1^4 - 4x_1^2 + x_2^2 + 1 = 0\}.$$

Let  $z$  be the cohomology class in  $H^1(\Sigma \times \Sigma; \mathbb{Z}/2)$  that is Poincaré dual to the homology class in  $H_1(\Sigma \times \Sigma; \mathbb{Z}/2)$  represented by the diagonal of  $\Sigma \times \Sigma$ . For any point  $t$  in  $\Sigma$ , let  $i_t: \Sigma \rightarrow \Sigma \times \Sigma$  be defined by  $i_t(x) = (x, t)$  for all  $x$  in  $\Sigma$ . The cohomology class  $i_t^*(z)$  in  $H^1(\Sigma; \mathbb{Z}/2)$  is Poincaré dual to the homology class in  $H_1(\Sigma; \mathbb{Z}/2)$  represented by the point  $t$ . Let  $t_j$  be a point in  $\Sigma_j$  for  $j = 0, 1$ . The cohomology class  $u := i_{t_1}^*(z) - i_{t_0}^*(z)$  is in  $\text{Alg}^1(\Sigma)$ . If  $\sigma: \Sigma_0 \hookrightarrow \Sigma$  is the inclusion map, then  $\sigma^*(u)$  generates  $H^1(\Sigma_0; \mathbb{Z}/2) \cong \mathbb{Z}/2$  and hence

$$H^1(\Sigma_0; \mathbb{Z}/2) = \sigma^*(H^1(\Sigma; \mathbb{Z}/2)) = \sigma^*(\text{Alg}^1(\Sigma)).$$

Consequently, the functoriality of  $\text{Alg}^1(-)$  implies that

$$r^*(H^1(\Sigma; \mathbb{Z}/2)) \subseteq \text{Alg}^1(Y)$$

for every nonsingular real algebraic variety  $Y$  and every regular map  $r: Y \rightarrow \Sigma$  with  $r(Y) \subseteq \Sigma_0$ .

As usual, the Kronecker index (scalar product) of cohomology and homology classes will be denoted by  $\langle -, - \rangle$ . For any  $m$ -dimensional compact smooth manifold  $M$ , let  $[M]$  denote its fundamental class in  $H_m(M; \mathbb{Z}/2)$ .

**Theorem 2.4** (cf. [38, Theorem 2.1]) *Let  $X$  be a compact nonsingular real algebraic variety. Then,  $\langle u \cup v, [X] \rangle = 0$  for all  $u$  in  $H_{\text{alg}}^k(X; \mathbb{Z}/2)$  and  $v$  in  $\text{Alg}^l(X)$ , where  $k + l = \dim X$ .*

If  $K$  is a  $k$ -dimensional smooth submanifold of  $M$ , let  $[K]_M$  denote the homology class in  $H_k(M; \mathbb{Z}/2)$  represented by  $K$ , that is,  $[K]_M := \kappa_*([K])$ , where  $\kappa: K \hookrightarrow M$  is the inclusion map. The unit 1-sphere and the unit 1-disk will be denoted by  $\mathbb{S}^1$  and  $\mathbb{D}^1$ , respectively.

The following technical result will be very useful.

**Lemma 2.5** *Let  $L$  be a  $(k + 1)$ -dimensional compact smooth submanifold of  $\mathbb{R}^n$  and let  $K$  be a  $k$ -dimensional smooth submanifold of  $L$  such that there is a smooth diffeomorphism  $\theta: K \times \mathbb{S}^1 \rightarrow L$  satisfying  $\theta(K \times \{z_0\}) = K$  for some point  $z_0$  in  $\mathbb{S}^1$ . Let  $f: L \rightarrow V$  be a smooth map into a nonsingular real algebraic variety  $V$ . Let  $\mathcal{U}$  be a neighborhood of the inclusion map  $L \hookrightarrow \mathbb{R}^n$  in the space  $\mathcal{C}^\infty(L, \mathbb{R}^n)$  and let  $\mathcal{V}$  be a neighborhood of  $f$  in  $\mathcal{C}^\infty(L, V)$ . Assume that  $2k + 3 \leq n$ , the map  $f \circ \theta: K \times \mathbb{S}^1 \rightarrow V$  has a continuous extension  $K \times \mathbb{D}^1 \rightarrow V$ , and the bordism class of the map  $f|_K: K \rightarrow V$  in the group  $\mathfrak{N}_*(V)$  is 0. Then, there exists a smooth embedding  $\varepsilon: L \rightarrow \mathbb{R}^n$ , a nonsingular algebraic subset  $Y$  of  $\mathbb{R}^n$ , and a regular map  $g: Y \rightarrow V$  such that  $Y = \varepsilon(L)$ ,  $\varepsilon$  is in  $\mathcal{U}$ ,  $g \circ \bar{\varepsilon}$  is in  $\mathcal{V}$ , and*

$$H_{\text{alg}}^k(Y; \mathbb{Z}/2) \subseteq \{w \in H^k(Y; \mathbb{Z}/2) \mid \langle w, \bar{\varepsilon}_*([K]_L) \rangle = 0\},$$

where  $\bar{\varepsilon}: L \rightarrow Y$  is the smooth diffeomorphism determined by  $\varepsilon$ .

*Proof* Let  $\Sigma$  be as in Example 2.3, and let  $h_0: \mathbb{S}^1 \rightarrow \Sigma$  be a smooth embedding onto  $\Sigma_0$ . If  $f_0: K \rightarrow V$  is defined by  $f_0(x) = f(\theta(x, z_0))$  for all  $x$  in  $K$ , then the bordism class of  $f_0 \times h_0: K \times \mathbb{S}^1 \rightarrow V \times \Sigma$  in the group  $\mathfrak{N}_*(V \times \Sigma)$  is 0. Indeed, this assertion follows since the bordism classes of  $f_0: K \rightarrow V$  and  $f|_K: K \rightarrow V$  in  $\mathfrak{N}_*(V)$  are equal, and the latter class is 0 by assumption.

If  $F: K \times \mathbb{D}^1 \rightarrow V$  is a continuous extension of  $f \circ \theta: K \times \mathbb{S}^1 \rightarrow V$ , then the map  $H: K \times \mathbb{S}^1 \times [0, 1] \rightarrow V$ ,

$$H(x, z, t) = F(x, (1 - t)z + tz_0) \text{ for } (x, z, t) \text{ in } K \times \mathbb{S}^1 \times [0, 1],$$

is a homotopy from  $f \circ \theta$  to  $f_0 \circ \pi$ , where  $\pi: K \times \mathbb{S}^1 \rightarrow K$  is the canonical projection. Hence, if  $\rho: K \times \mathbb{S}^1 \rightarrow \mathbb{S}^1$  is the canonical projection and  $h := h_0 \circ \rho \circ \theta^{-1}$ , the map

$$(f, h) \circ \theta = (f \circ \theta, h \circ \theta): K \times \mathbb{S}^1 \rightarrow V \times \Sigma$$

is homotopic to

$$(f_0 \circ \pi, h_0 \circ \rho) = f_0 \times h_0: K \times \mathbb{S}^1 \rightarrow V \times \Sigma.$$

Consequently, the bordism class of  $(f, h): L \rightarrow V \times \Sigma$  in  $\mathfrak{N}_*(V \times \Sigma)$  is 0.

By Theorem 2.1 (with  $M = L$ ,  $Z = \emptyset$ , and  $W = V \times \Sigma$ ), there exist a smooth embedding  $\varepsilon: L \rightarrow \mathbb{R}^n$ , a nonsingular algebraic subset  $Y$  of  $\mathbb{R}^n$ , and a regular map  $(h, r): Y \rightarrow V \times \Sigma$  such that  $Y = \varepsilon(L)$ ,  $\varepsilon$  is in  $\mathcal{U}$ , and  $(g, r) \circ \bar{\varepsilon}$  is close to  $(f, h)$  in  $\mathcal{C}^\infty(L, V \times \Sigma)$ , where  $\bar{\varepsilon}: L \rightarrow Y$  is the smooth diffeomorphism determined by  $\varepsilon$ . In particular,  $g \circ \bar{\varepsilon}$  is in  $\mathcal{V}$ , and  $r$  is homotopic to  $h \circ \bar{\varepsilon}^{-1}$ . The proof can be completed as follows. Let  $v$  be the cohomology class in  $H^1(\Sigma; \mathbb{Z}/2)$  that is Poincaré dual to the homology class represented by the point  $y_0 := h_0(z_0)$ . Since  $y_0$  is a regular value of  $h \circ \bar{\varepsilon}^{-1}$  and  $\bar{\varepsilon}(K) = (h \circ \bar{\varepsilon}^{-1})^{-1}(y_0)$ , it follows that the cohomology class  $(h \circ \bar{\varepsilon}^{-1})^*(v)$  is Poincaré dual to the homology class  $[\bar{\varepsilon}(K)]_Y = \bar{\varepsilon}_*([K]_L)$  (cf. [27, Proposition 2.15]). Consequently,  $r^*(v)$  is Poincaré dual to  $\bar{\varepsilon}_*([K]_L)$ , the maps  $h \circ \bar{\varepsilon}^{-1}$  and  $r$  being homotopic. Thus,  $r^*(v) \cap [Y] = \bar{\varepsilon}_*([K]_L)$  and hence for every cohomology class  $w$  in  $H^1(Y; \mathbb{Z}/2)$ ,

$$\langle w, \bar{\varepsilon}_*([K]_L) \rangle = \langle w, r^*(v) \cap [Y] \rangle = \langle w \cup r^*(v), [Y] \rangle.$$

Since  $r$  is a regular map and  $r(Y) \subseteq \Sigma_0$ , Example 2.3 implies that  $r^*(v)$  is in  $\text{Alg}^1(Y)$ . Hence, according to Theorem 2.4,

$$H_{\text{alg}}^k(Y; \mathbb{Z}/2) \subseteq \{w \in H^k(Y; \mathbb{Z}/2) \mid \langle w, \bar{\varepsilon}_*([K]_L) \rangle = 0\},$$

as required.  $\square$

The ability to verify the bordism hypothesis in Lemma 2.5 is essential for applications. This often requires the following deep result from differential topology.

**Theorem 2.6** (cf. [29, (17.3)]) *Let  $f: K \rightarrow P$  be a smooth map between compact smooth manifolds. The bordism class of  $f$  in the group  $\mathfrak{N}_*(P)$  is 0 if and only if for every nonnegative integer  $q$  and every cohomology class  $u$  in  $H^q(P; \mathbb{Z}/2)$ ,*

$$\langle w_{i_1}(K) \cup \cdots \cup w_{i_p}(K) \cup f^*(u), [K] \rangle = 0$$

for all nonnegative integers  $i_1, \dots, i_p$  with  $i_1 + \cdots + i_p = k - q$ , where  $k = \dim K$ .

Henceforth, the following notion will play a crucial role.

**Definition 2.7** Given a compact smooth manifold  $M$  and a subring  $A$  of  $H^*(M; \mathbb{Z}/2)$ , a smooth submanifold  $K$  of  $M$  is said to be adapted to  $A$  if for every nonnegative integer  $q$  and every cohomology class  $u$  in  $A^q$ ,

$$\langle w_{i_1}(K) \cup \cdots \cup w_{i_p}(K) \cup \kappa^*(u), [K] \rangle = 0$$

for all nonnegative integers  $i_1, \dots, i_p$  with  $i_1 + \cdots + i_p = k - q$ , where  $k = \dim K$  and  $\kappa: K \hookrightarrow M$  is the inclusion map.

For any smooth manifold  $N$ , let  $\tau_N$  denote its tangent bundle.

**Lemma 2.8** *Let  $M$  be a compact smooth manifold and let  $K$  be a connected smooth submanifold of  $M$  of positive dimension  $k$ , with  $2k + 1 \leq \dim M$ . If  $K$  is adapted to a subring  $A$  of  $H^*(M; \mathbb{Z}/2)$  containing the Stiefel–Whitney classes  $w_i(M)$  for  $0 \leq i \leq k$ , then the normal bundle of  $K$  in  $M$  splits off a trivial vector bundle of rank 2.*

*Proof* If  $2k + 2 \leq \dim M$ , then the assertion is true without any additional assumptions on  $K$ .

Suppose now that  $2k + 1 = \dim M$ . It suffices to prove that the normal bundle  $\nu$  of  $K$  in  $M$  has two continuous sections that are linearly independent at each point of  $K$ . Since  $\text{rank } \nu = k + 1$  and  $\dim K = k$ , the only obstruction to the existence of such sections is an element  $W_k(\nu)$  in the cohomology group  $H^k(K; \Gamma)$ , where  $\Gamma$  is a local system of coefficients with fiber  $\mathbb{Z}$  or  $\mathbb{Z}/2$  (cf. [52, p. 140] and [60, pp. 190, 191]).

If  $k$  is even, then  $\Gamma$  is isomorphic to the constant local system  $\mathbb{Z}/2$ , and  $W_k(\nu)$  can be identified with  $w_k(\nu)$  (cf. [52, p. 143]).

If  $k$  is odd, then the local system  $\Gamma$  has fiber  $\mathbb{Z}$ . The group  $H^k(K; \Gamma)$  is isomorphic either to  $\mathbb{Z}$  or  $\mathbb{Z}/2$ . Indeed, the Poincaré duality gives an isomorphism between  $H^k(K; \Gamma)$  and the 0th homology group of  $K$  with a suitable local system of coefficients with fiber  $\mathbb{Z}$ . The 0th homology group of  $K$  with an arbitrary local system of coefficients with fiber  $\mathbb{Z}$  is isomorphic either to  $\mathbb{Z}$  or  $\mathbb{Z}/2$ . If the group  $H^k(K; \Gamma)$  is infinite cyclic, then  $W_k(\nu) = 0$  since  $W_k(\nu)$  is an element of order 2 (cf. [60, Theorem 38.11]). If  $H^k(K; \Gamma)$  is isomorphic to  $\mathbb{Z}/2$ , then the reduction modulo 2 homomorphism  $\rho: H^k(K; \Gamma) \rightarrow H^k(K; \mathbb{Z}/2)$  is an isomorphism. According to [52, Theorem 12.1],  $\rho(W_k(\nu)) = w_k(\nu)$ .

In conclusion,  $W_k(\nu) = 0$  if  $w_k(\nu) = 0$ . It remains to prove the equality  $w_k(\nu) = 0$ . If  $\kappa: K \hookrightarrow M$  is the inclusion map, then the vector bundles  $\tau_K \oplus \nu$  and  $\kappa^*(\tau_M)$  are isomorphic, and hence, one gets  $w(K) \cup w(\nu) = \kappa^*(w(M))$  for the total Stiefel–Whitney classes. The last equality implies that  $w_k(\nu)$  belongs to the subring of  $H^*(K; \mathbb{Z}/2)$  generated by  $w_i(K)$  and  $\kappa^*(w_i(M))$  for  $0 \leq i \leq k$ . Consequently,  $\langle w_k(\nu), [K] \rangle = 0$  since  $K$  is adapted to  $A$  and  $w_i(M)$  is in  $A^i$  for  $0 \leq i \leq k$ . Thus,  $w_k(\nu) = 0$ , the manifold  $K$  being connected.  $\square$

The next lemma is included for the sake of completeness. If  $M$  is a compact smooth manifold and  $N$  is a smooth submanifold of  $M$  of codimension  $k$ , let  $[N]^M$  denote the cohomology class in  $H^k(M; \mathbb{Z}/2)$  that is Poincaré dual to the homology class  $[N]_M$  represented by  $N$ . That is,  $[N]^M \cap [M] = [N]_M$ , where  $\cap$  denotes the cap product.

**Lemma 2.9** *Let  $M$  be a compact smooth manifold of dimension  $m$ . Let  $K_1, \dots, K_p$  be pairwise disjoint connected smooth submanifolds of  $M$  of dimension  $k$ , where  $1 \leq k \leq m - 1$ . Let  $N$  be a smooth submanifold of  $M$  of codimension  $k$ . If*

$$\langle [N]^M, [K_l]_M \rangle = 0 \quad \text{for } 1 \leq l \leq p,$$

*then there exists a smooth submanifold  $N'$  of  $M$  of codimension  $k$  such that  $[N']^M = [N]^M$  and  $K_l \cap N' = \emptyset$  for  $1 \leq l \leq p$ .*

*Proof* Arguing by induction on the number of submanifolds  $K_l$  suppose that  $j$  is an integer satisfying  $0 \leq j \leq p - 1$ , and  $N_j$  is a smooth submanifold of  $M$  with

$[N_j]^M = [N]^M$  and  $K_l \cap N_j = \emptyset$  for  $1 \leq l \leq j$  (the last condition is vacuous if  $j = 0$ ). It suffices to prove the existence of a smooth submanifold  $N_{j+1}$  of  $M$  such that  $[N_{j+1}]^M = [N]^M$  and  $K_l \cap N_{j+1} = \emptyset$  for  $1 \leq l \leq j+1$ . The submanifold  $N_j$  can be assumed to be transverse to  $K_l$  for  $1 \leq l \leq p$ . Since

$$\begin{aligned} \langle [N_j]^M \cup [K_{j+1}]^M, [M] \rangle &= \langle [N_j]^M, [K_{j+1}]^M \cap [M] \rangle \\ &= \langle [N_j]^M, [K_{j+1}]_M \rangle = 0, \end{aligned}$$

the modulo 2 intersection number of  $K_{j+1}$  and  $N_j$  in  $M$  is 0, and hence, the set  $K_{j+1} \cap N_j$  is either empty or consists of  $2r$  points for some positive integer  $r$ . In the former case, it suffices to set  $N_{j+1} := N_j$ . In the latter case, let  $x$  and  $y$  be two points in  $K_{j+1} \cap N_j$  that can be joined by a smooth arc  $C$  in  $K_{j+1}$  satisfying  $C \cap N_j = \{x, y\}$ . The restriction to  $C$  of the normal bundle of  $K_{j+1}$  in  $M$  is trivial, and hence making use of a thin  $(m-k)$ -dimensional tube along  $C$ , one can construct a smooth submanifold  $N_j(x, y)$  of  $M$  with  $[N_j(x, y)]^M = [N_j]^M$ ,  $K_l \cap N_j(x, y) = \emptyset$  for  $1 \leq l \leq j$ , and  $K_{j+1} \cap N_j(x, y) = (K_{j+1} \cap N_j) \setminus \{x, y\}$ . By repeating this procedure  $r$  times, one obtains a smooth submanifold  $N_{j+1}$  of  $M$  having the required properties.  $\square$

For any subring  $A$  of  $H^*(M; \mathbb{Z}/2)$ , let

$$A_k := \{\alpha \in H_k(M; \mathbb{Z}/2) \mid \langle u, \alpha \rangle = 0 \text{ for all } u \in A^k\}.$$

**Theorem 2.10** *Let  $M$  be a compact connected smooth manifold and let  $r$  be a positive integer with  $2r+1 \leq \dim M$ . Let  $A$  be an  $r$ -admissible subring of  $H^*(M; \mathbb{Z}/2)$  and let  $\Delta$  be the set of all integers  $k$  such that  $1 \leq k \leq r$  and the group  $A_k$  is generated by homology classes of the form  $[K]_M$ , where  $K$  is a  $k$ -dimensional connected smooth submanifold of  $M$  adapted to  $A$ . If  $w_i(M)$  is in  $A^i$  for  $0 \leq i \leq r$ , then there exists an algebraic model  $(X, \varphi)$  of  $M$  satisfying*

$$\varphi^*(A) \subseteq H_{\text{alg}}^*(X; \mathbb{Z}/2) \quad \text{and} \quad \varphi^*(A^k) = H_{\text{alg}}^k(X; \mathbb{Z}/2) \quad \text{for } k \text{ in } \{0\} \cup \Delta.$$

*Proof* The subring  $A$  can be written as  $A = B[T]$ , where  $B$  is a full subring and  $T$  is a regular subset of  $H^*(M; \mathbb{Z}/2)$ , with  $T$  disjoint from  $H^c(M; \mathbb{Z}/2)$  for  $0 \leq c \leq r-1$ . By definition,

$$B = h^*(H^*(W; \mathbb{Z}/2)), \tag{1}$$

where  $h: M \rightarrow W$  is a smooth map into a compact smooth manifold  $W$  with  $A(W) = H^*(W; \mathbb{Z}/2)$ . In view of the last equality, the whole ring  $H^*(W; \mathbb{Z}/2)$  is algebraically realizable (cf. Sect. 1), and hence, it can be assumed that  $W$  is a nonsingular real algebraic variety satisfying

$$H_{\text{alg}}^*(W; \mathbb{Z}/2) = H^*(W; \mathbb{Z}/2). \tag{2}$$

Let  $m := \dim M$ . If  $d$  is a sufficiently large integer and  $G := \mathbb{G}_{d,m}(\mathbb{R})$ , then there exists a smooth classifying map  $g: M \rightarrow G$  for the tangent bundle  $\tau_M$  of  $M$ , that is,



$\tau_M$  is isomorphic to the pullback  $g^*\gamma$  of the universal vector bundle  $\gamma$  on  $G$ . Hence, the subring  $g^*(H^*(H; \mathbb{Z}/2))$  of  $H^*(M; \mathbb{Z}/2)$  is generated by  $w_i(M)$  for  $i \geq 0$ . The smooth map  $(g, h): M \rightarrow G \times W$  plays a crucial role. Set

$$D := (g, h)^*(H^*(G \times W; \mathbb{Z}/2)) \text{ and } C := D[T]. \quad (3)$$

Since  $w_i(M)$  is in  $A^i$  for  $0 \leq i \leq r$ , by (1) and Künneth's theorem, the subring  $C$  of  $H^*(M; \mathbb{Z}/2)$  satisfies

$$A \subseteq C \quad \text{and} \quad A^i = C^i, \quad A_i = C_i \quad \text{for } 0 \leq i \leq r. \quad (4)$$

By (2) and Proposition 2.2,

$$\mathfrak{N}_*^{\text{alg}}(W) = \mathfrak{N}_*(W) \quad \text{and} \quad \mathfrak{N}_*^{\text{alg}}(G \times W) = \mathfrak{N}_*(G \times W). \quad (5)$$

In view of (4), if  $p$  is a sufficiently large integer, then for each integer  $k$  in  $\Delta$ , there exist  $k$ -dimensional connected smooth submanifolds  $K_{k1}, \dots, K_{kp}$  of  $M$  such that

$$\text{each } K_{kl} \text{ is adapted to } C, \quad (6)$$

$$[K_{k1}]_M, \dots, [K_{kp}]_M \text{ generate } C_k = A_k. \quad (7)$$

By (6) and Lemma 2.8,

$$\begin{aligned} &\text{the normal bundle of each } K_{kl} \text{ in } M \\ &\text{ splits off a trivial vector bundle of rank 2.} \end{aligned} \quad (8)$$

If  $\kappa_{kl}: K_{kl} \hookrightarrow M$  is the inclusion map, the restriction map  $(g, h)|_{K_{kl}}: K_{kl} \rightarrow G \times W$  can be written as  $(g, h)|_{K_{kl}} = (g, h) \circ \kappa_{kl}$ , and hence

$$\begin{aligned} ((g, h)|_{K_{kl}})^*(H^*(G \times W; \mathbb{Z}/2)) = \\ \kappa_{kl}^*((g, h)^*(H^*(G \times W; \mathbb{Z}/2))) \subseteq \kappa_{kl}^*(C), \end{aligned}$$

where the inclusion follows from (3). Consequently, by (6) and Theorem 2.6,

$$\text{the bordism class of } (g, h)|_{K_{kl}}: K_{kl} \rightarrow G \times W \text{ in } \mathfrak{N}_*(G \times W) \text{ is } 0. \quad (9)$$

Let  $N_1, \dots, N_q$  be smooth submanifolds of  $M$  such that

$$T = \{[N_1]^M, \dots, [N_q]^M\} \quad \text{and} \quad \text{codim}_M N_j \geq r \quad \text{for } 1 \leq j \leq q, \quad (10)$$

and let

$$N := N_1 \cup \dots \cup N_q.$$

The collection of smooth submanifolds of  $M$  consisting of all  $K_{kl}$  and all  $N_j$  can be assumed to be in general position. In particular, the  $K_{kl}$  are pairwise disjoint since  $2r + 1 \leq m$ . Similarly, each  $K_{kl}$  with  $1 \leq k \leq r - 1$  is disjoint from  $N$  since  $\text{codim}_M N_j \geq r$  for  $1 \leq j \leq q$ . Moreover, according to Lemma 2.9, the  $N_j$  can be chosen in such a way that  $K_{kl} \cap N = \emptyset$  for  $k \in \Delta$  and  $1 \leq l \leq p$ .

One can assume that  $M$  is smoothly embedded in  $\mathbb{R}^n$  for some  $n \geq 2m + 1$ . Since (5) holds, according to [13, Theorem 4, Remark 8], it can be assumed that

$$M \text{ is a nonsingular algebraic subset of } \mathbb{R}^n, \quad (11)$$

$$N_1, \dots, N_q \text{ are nonsingular algebraic subsets of } M, \quad (12)$$

$$(g, h): M \rightarrow G \times W \text{ is a regular map.} \quad (13)$$

Let  $U_{kl}$  be a tubular neighborhood of  $K_{kl}$  in  $M$ . The  $U_{kl}$  can be chosen to be pairwise disjoint and disjoint from  $N$ . In view of (8), one can find a smooth embedding  $\eta_{kl}: K_{kl} \times \mathbb{D}^1 \rightarrow U_{kl}$  such that if  $L_{kl} := \eta_{kl}(K_{kl} \times \mathbb{S}^1)$  and  $\theta_{kl}: K_{kl} \times \mathbb{S}^1 \rightarrow L_{kl}$  is the smooth diffeomorphism determined by  $\eta_{kl}$ , then  $K_{kl} = \theta_{kl}(K_{kl} \times \{z_0\})$  for some point  $z_0$  in  $\mathbb{S}^1$ . The smooth map  $((g, h)|_{L_{kl}}) \circ \theta_{kl}: K_{kl} \times \mathbb{S}^1 \rightarrow G \times W$  is a restriction of the smooth map  $(g, h) \circ \eta_{kl}: K_{kl} \times \mathbb{D}^1 \rightarrow G \times W$ . Hence, by (9) and Lemma 2.5 (with  $K = K_{kl}$ ,  $L = L_{kl}$  and  $f = (g, h)|_{L_{kl}}$ ), there exist a smooth embedding  $\varepsilon_{kl}: L_{kl} \rightarrow \mathbb{R}^n$ , a nonsingular algebraic subset  $Y_{kl}$  of  $\mathbb{R}^n$ , and a regular map  $(g_{kl}, h_{kl}): Y_{kl} \rightarrow G \times W$  such that  $Y_{kl} = \varepsilon_{kl}(L_{kl})$ ,  $\varepsilon_{kl}$  is close to the inclusion map  $L_{kl} \hookrightarrow \mathbb{R}^n$  in the space  $C^\infty(L_{kl}, \mathbb{R}^n)$ ,  $(g_{kl}, h_{kl}) \circ \bar{\varepsilon}_{kl}$  is close to  $(g, h)|_{L_{kl}}$  in  $C^\infty(L_{kl}, G \times W)$ , and

$$H_{\text{alg}}^k(Y_{kl}; \mathbb{Z}/2) \subseteq \{w \in H^k(Y_{kl}; \mathbb{Z}/2) | \langle w, \bar{\varepsilon}_{kl*}([K_{kl}]_{L_{kl}}) \rangle = 0\}, \quad (14)$$

where  $\bar{\varepsilon}_{kl}: L_{kl} \rightarrow Y_{kl}$  is the smooth diffeomorphism determined by  $\varepsilon_{kl}$ . If each  $(g_{kl}, h_{kl}) \circ \bar{\varepsilon}_{kl}$  is sufficiently close to  $(g, h)|_{L_{kl}}$ , then one can find a smooth map  $(g', h'): M \rightarrow G \times W$  that is homotopic to  $(g, h): M \rightarrow G \times W$  and satisfies

$$\begin{aligned} (g', h')|_N &= (g, h)|_N \quad \text{and} \\ (g', h')|_{L_{kl}} &= (g_{kl}, h_{kl}) \circ \bar{\varepsilon}_{kl} \text{ for } k \in \Delta \quad \text{and} \quad 1 \leq l \leq p. \end{aligned} \quad (15)$$

If each  $\varepsilon_{kl}$  is sufficiently close to the inclusion map  $L_{kl} \hookrightarrow \mathbb{R}^n$ , then

$$\text{the } Y_{kl} \text{ are pairwise disjoint and disjoint from } N, \quad (16)$$

and hence, there exists a smooth embedding  $\varepsilon: M \rightarrow \mathbb{R}^n$  for which  $\varepsilon|_{L_{kl}} = \varepsilon_{kl}$  and  $\varepsilon|_N$  is the inclusion map  $N \hookrightarrow \mathbb{R}^n$ . Let  $\bar{M} := \varepsilon(M)$  and let  $\bar{\varepsilon}: M \rightarrow \bar{M}$  be the smooth diffeomorphism determined by  $\varepsilon$ . The smooth map  $(\bar{g}, \bar{h}) := (g', h') \circ \bar{\varepsilon}^{-1}: \bar{M} \rightarrow G \times W$  satisfies  $(\bar{g}, \bar{h})|_{Y_{kl}} = (g_{kl}, h_{kl})$  and  $(\bar{g}, \bar{h})|_N = (g', h')|_N$ . Moreover, the algebraic subset

$$Z := N \cup \bigcup_{k,l} Y_{kl}$$

of  $\mathbb{R}^n$  is contained in  $\overline{M}$ , and by (12), (13), (15) and (16),

$$(\overline{g}, \overline{h})|_Z : Z \rightarrow G \times W \text{ is a regular map.} \quad (17)$$

Since  $g : M \rightarrow G$  is a classifying map for  $\tau_M$  and  $g' : M \rightarrow G$  is homotopic to  $g$ , it follows that  $\overline{g} = g' \circ \overline{\varepsilon}^{-1} : \overline{M} \rightarrow G$  is a classifying map for  $\tau_{\overline{M}}$ . Consequently, the regular map  $\overline{g}|_Z : Z \rightarrow G$  is a classifying map for  $\tau_{\overline{M}}|_Z$  and hence

$$\tau_{\overline{M}}|_Z \text{ admits an algebraic structure} \quad (18)$$

(cf. [16, Theorem 12.1.7]). In view of (5), (17) and (18), Theorem 2.1 can be applied to  $\overline{h} : \overline{M} \rightarrow W$  and  $Z \subseteq \overline{M}$ . Therefore, there exist a smooth embedding  $e : \overline{M} \rightarrow \mathbb{R}^n$ , a nonsingular algebraic subset  $X$  of  $\mathbb{R}^n$  and a regular map  $\lambda : X \rightarrow W$  such that  $X = e(\overline{M})$ ,  $Z \subseteq X$ ,  $e(x) = x$  for all  $x$  in  $Z$ , and  $\lambda \circ \overline{e}$  is homotopic to  $\overline{h}$ , where  $\overline{e} : \overline{M} \rightarrow X$  is the smooth diffeomorphism determined by  $e$ . The map  $\varphi := \overline{\varepsilon}^{-1} \circ \overline{e}^{-1} : X \rightarrow M$  is a smooth diffeomorphism, and hence,  $(X, \varphi)$  is an algebraic model of  $M$ .

By construction,  $\lambda$  is homotopic to  $\overline{h} \circ \overline{e}^{-1} = h' \circ \varphi$  and  $h'$  is homotopic to  $h$ . Consequently,  $\lambda$  is homotopic to  $h \circ \varphi$ , and hence,

$$\lambda^*(H^*(W; \mathbb{Z}/2)) = \varphi^*(h^*(H^*(W; \mathbb{Z}/2))) = \varphi^*(B),$$

where the last equality follows from (1). This implies that

$$\varphi^*(B) \subseteq H_{\text{alg}}^*(X; \mathbb{Z}/2)$$

since (2) holds and  $\lambda : X \rightarrow W$  is a regular map. The diffeomorphism  $\varphi : X \rightarrow M$  satisfies  $\varphi(x) = x$  for all  $x$  in  $N$ , which gives  $\varphi^*([N_j]^M) = [N_j]^X$  for  $1 \leq j \leq q$ . Thus,  $\varphi^*([N_j]^M)$  belongs to  $H_{\text{alg}}^*(X; \mathbb{Z}/2)$ , each  $N_j$  being an algebraic subset of  $X$ . By (10),

$$\varphi^*(T) \subseteq H_{\text{alg}}^*(X; \mathbb{Z}/2).$$

The last two inclusions imply that

$$\varphi^*(A) \subseteq H_{\text{alg}}^*(X; \mathbb{Z}/2).$$

Since  $M$  is connected, one has  $A^0 = H^0(M; \mathbb{Z}/2)$  and

$$\varphi^*(A^0) = H^0(X; \mathbb{Z}/2) = H_{\text{alg}}^0(X; \mathbb{Z}/2).$$

It remains to prove that if  $u$  is a cohomology class in  $H^k(M; \mathbb{Z}/2) \setminus A^k$  for some  $k \in \Delta$ , then  $\varphi^*(u)$  is not in  $H_{\text{alg}}^k(X; \mathbb{Z}/2)$ . Let  $\delta_{kl} : Y_{kl} \hookrightarrow X$  be the inclusion map. The composite map

$$\varphi \circ \delta_{kl} \circ \overline{\varepsilon}_{kl} = \overline{\varepsilon}^{-1} \circ \overline{e}^{-1} \circ \delta_{kl} \circ \overline{\varepsilon}_{kl} : L_{kl} \rightarrow M$$

is the inclusion map  $L_{kl} \hookrightarrow M$ , and hence,

$$\langle \delta_{kl}^*(\varphi^*(u)), \bar{\varepsilon}_{kl*}([K_{kl}]_{L_{kl}}) \rangle = \langle u, (\varphi \circ \delta_{kl} \circ \bar{\varepsilon}_{kl})_*([K_{kl}]_{L_{kl}}) \rangle = \langle u, [K_{kl}]_M \rangle.$$

Since  $u$  is not in  $A^k$ , condition (7) implies the existence of  $l$  with  $\langle u, [K_{kl}]_M \rangle \neq 0$ . For this  $l$ , according to (14),  $\delta_{kl}^*(\varphi^*(u))$  is not in  $H_{\text{alg}}^k(Y_{kl}; \mathbb{Z}/2)$ . Consequently,  $\varphi^*(u)$  is not in  $H_{\text{alg}}^k(X; \mathbb{Z}/2)$ , the map  $\delta_{kl}$  being regular. The proof is complete.  $\square$

Recall that a compact smooth manifold is said to be a *boundary* if it is diffeomorphic to the boundary of a compact smooth manifold with boundary.

Let  $M$  be a compact connected smooth manifold and let  $K$  be a smooth submanifold of  $M$ . If  $K$  is adapted to a subring of  $H^*(M; \mathbb{Z}/2)$ , then the Stiefel–Whitney numbers of  $K$  are all 0, and hence,  $K$  is a boundary [62]. Conversely, if  $K$  is a boundary, then its Stiefel–Whitney numbers are all 0, and hence,  $K$  is adapted to the subring  $H^0(M; \mathbb{Z}/2)$  of  $H^*(M; \mathbb{Z}/2)$ . The last observation can be generalized. This is done in the following two lemmas, in which notation  $M$  and  $K$  is preserved, and  $k := \dim K$  is assumed to be positive. Moreover,  $A$  denotes a subring of  $H^*(M; \mathbb{Z}/2)$ .

**Lemma 2.11** *Assume that the submanifold  $K$  is a boundary and the cohomology class  $[K]_M$  belongs to  $A_k$ . Then,  $K$  is adapted to  $A$  if one of the following two conditions is satisfied:*

- (c<sub>1</sub>)  $1 \leq k \leq 2$ .
- (c<sub>2</sub>)  $k \geq 3$ ,  $\text{Sq}^1(A^{k-1}) \subseteq A^k$ , and  $A^i = 0$  for  $1 \leq i \leq k-2$ .

*Proof* By Wu's theorem [52, Theorem 11.14], the first Wu class of  $K$  is equal to  $w_1(K)$ . In particular,

$$\text{Sq}^1(a) = w_1(K) \cup a \quad \text{for all } a \text{ in } H^{k-1}(K; \mathbb{Z}/2). \quad (1)$$

Let  $\kappa: K \hookrightarrow M$  be the inclusion map. Since  $[K]_M$  is in  $A_k$ , for every cohomology class  $z$  in  $A^k$ ,

$$\langle \kappa^*(z), [K] \rangle = \langle z, \kappa_*([K]) \rangle = \langle z, [K]_M \rangle = 0. \quad (2)$$

According to (1), for every cohomology class  $v$  in  $A^{k-1}$ ,

$$w_1(K) \cup \kappa^*(v) = \text{Sq}^1(\kappa^*(v)) = \kappa^*(\text{Sq}^1(v)).$$

Therefore, the inclusion  $\text{Sq}^1(A^{k-1}) \subseteq A^k$  (which is automatically satisfied if  $1 \leq k \leq 2$ ) and (2) give

$$\langle w_1(K) \cup \kappa^*(v), [K] \rangle = 0. \quad (3)$$

Since  $K$  is a boundary, the Stiefel–Whitney numbers of  $K$  are all 0. Consequently, in view of (2) and (3), the submanifold  $K$  is adapted to  $A$ , provided that either (c<sub>1</sub>) or (c<sub>2</sub>) is satisfied.  $\square$

**Lemma 2.12** *Assume that the submanifold  $K$  is a boundary and the homology class  $[K]_M$  belongs to  $A_k$ . Moreover, assume that  $K$  is orientable. Then,  $K$  is adapted to  $A$  if one of the following two conditions is satisfied:*

$$(c_1) \quad 1 \leq k \leq 4.$$

$$(c_2) \quad k \geq 5, \quad \text{Sq}^2(A^{k-2}) \subseteq A^k, \quad \text{Sq}^2(\text{Sq}^1(A^{k-3})) \subseteq A^k, \quad \text{and} \quad A^i = 0 \quad \text{for} \\ 1 \leq i \leq k-4.$$

*Proof* In view of Lemma 2.11, it can be assumed that  $k \geq 3$ . Let  $v_j(K)$  denote the  $j$ th Wu class of  $K$ . The orientability of  $K$  implies that

$$w_1(K) = 0, \tag{1}$$

and hence by Wu's theorem [52, Theorem 11.14],  $v_1(K) = 0$  and  $v_2(K) = w_2(K)$ . In particular,

$$\text{Sq}^1(a) = v_1(K) \cup a = 0 \quad \text{for all } a \text{ in } H^{k-1}(K; \mathbb{Z}/2), \tag{2}$$

$$\text{Sq}^2(b) = v_2(K) \cup b = w_2(K) \cup b \quad \text{for all } b \text{ in } H^{k-2}(K; \mathbb{Z}/2). \tag{3}$$

Let  $\kappa: K \hookrightarrow M$  be the inclusion map. Since  $[K]_M$  is in  $A_k$ , for every cohomology class  $z$  in  $A^k$ ,

$$\langle \kappa^*(z), [K] \rangle = \langle z, \kappa_*([K]) \rangle = \langle z, [K]_M \rangle = 0. \tag{4}$$

According to (3), for every cohomology class  $v$  in  $H^{k-2}(M; \mathbb{Z}/2)$ ,

$$w_2(K) \cup \kappa^*(v) = \text{Sq}^2(\kappa^*(v)) = \kappa^*(\text{Sq}^2(v)).$$

If  $\text{Sq}^2(v)$  is in  $A^k$  (which is automatically satisfied if  $3 \leq k \leq 4$ ), then (4) gives

$$\langle w_2(K) \cup \kappa^*(v), [K] \rangle = 0. \tag{5}$$

According to (2), for every cohomology class  $u$  in  $H^{k-3}(M; \mathbb{Z}/2)$ ,

$$\text{Sq}^1(w_2(K) \cup \kappa^*(u)) = 0.$$

On the other hand,

$$\text{Sq}^1(w_2(K) \cup \kappa^*(u)) = \text{Sq}^1(w_2(K)) \cup \kappa^*(u) + w_2(K) \cup \kappa^*(\text{Sq}^1(u)).$$

Consequently,

$$\text{Sq}^1(w_2(K)) \cup \kappa^*(u) = w_2(K) \cup \kappa^*(\text{Sq}^1(u)).$$

By Wu's formula [52, p. 94],  $\text{Sq}^1(w_2(K)) = w_1(K) \cup w_2(K) + w_3(K)$ , which in view of (1) gives  $\text{Sq}^1(w_2(K)) = w_3(K)$ . Thus,

$$w_3(K) \cup \kappa^*(u) = \text{Sq}^1(w_2(K)) \cup \kappa^*(u) = w_2(K) \cup \kappa^*(\text{Sq}^1(u)).$$

If  $\text{Sq}^2(\text{Sq}^1(u))$  is in  $A^k$  (which is automatically satisfied if  $3 \leq k \leq 4$ ), then (5) gives

$$\langle w_3(K) \cup \kappa^*(u), [K] \rangle = 0. \quad (6)$$

Since  $K$  is a boundary, the Stiefel–Whitney numbers of  $K$  are all 0. Consequently, in view of (1), (4), (5) and (6), the submanifold  $K$  is adapted to  $A$ , provided that either  $(c_1)$  or  $(c_2)$  is satisfied.  $\square$

The assumption in Lemmas 2.11 and 2.12 that  $K$  be a boundary is not a serious limitation for applications, as demonstrated below.

The following is a simple modification of a deep result of Thom [62, Théorème II.26].

**Lemma 2.13** (cf. [40, Lemma 4.7]) *Let  $M$  be a compact connected smooth manifold and let  $k$  be a positive integer satisfying  $2k \leq \dim M$ . Then, each homology class in  $H_k(M; \mathbb{Z}/2)$  is of the form  $[K]_M$ , where  $K$  is a  $k$ -dimensional connected smooth submanifold of  $M$ . Moreover,  $K$  can be chosen in such a way that it is a boundary.*

Under some additional assumptions,  $K$  can be assumed to be orientable.

**Lemma 2.14** *Let  $M$  be a compact connected smooth manifold and let  $k$  be a positive integer satisfying  $2k + 1 \leq \dim M$ . Assume that the homology group  $H_{k-1}(M; \mathbb{Z})$  has no 2-torsion. Then, each homology class in  $H_k(M; \mathbb{Z}/2)$  is of the form  $[K]_M$ , where  $K$  is a  $k$ -dimensional connected orientable smooth submanifold of  $M$ . Moreover,  $K$  can be chosen in such a way that it is a boundary.*

*Proof* By the universal coefficient theorem, the reduction modulo 2 homomorphism  $\rho : H_k(M, \mathbb{Z}) \rightarrow H_k(M, \mathbb{Z}/2)$  is surjective. Hence, each homology class  $\alpha$  in  $H_k(M; \mathbb{Z}/2)$  is of the form  $\alpha = \rho(\beta)$  for some homology class  $\beta$  in  $H_k(M; \mathbb{Z})$ . According to [29, Corollary 15.3], one can find a  $k$ -dimensional oriented compact smooth manifold  $N$ , a smooth map  $f : N \rightarrow M$  and an integer  $r$  such that  $f_*(\mu_N) = (2r + 1)\beta$ , where  $\mu_N$  is the fundamental class of  $N$  in  $H_k(N, \mathbb{Z})$ . Since  $2k + 1 \leq m := \dim M$ , the map  $f$  can be assumed to be a smooth embedding (cf. [30, Theorem 2.13]). Hence,  $P := f(N)$  is an orientable smooth submanifold of  $M$  with  $[P]_M = \alpha$ . By joining the connected components of  $P$  with  $k$ -dimensional tubes in  $M$ , one obtains an orientable connected smooth submanifold  $L$  of  $M$  satisfying  $[L]_M = \alpha$ . Let  $U$  be an open subset of  $M \setminus L$ , diffeomorphic to  $\mathbb{R}^m$ . Let  $L'$  be a smooth submanifold of  $U$ , diffeomorphic to  $L$ . By joining  $L$  and  $L'$  with a  $k$ -dimensional tube in  $M$ , one gets an orientable connected smooth submanifold  $K$  of  $M$  satisfying  $[K]_M = \alpha$ . By construction,  $K$  is a boundary.  $\square$

One more observation is required for the proofs of the main results.

**Lemma 2.15** *Let  $M$  be a compact smooth manifold and let  $A$  be an  $r$ -admissible subring of  $H^*(M; \mathbb{Z}/2)$ , where  $r$  is a positive integer. Then,  $\text{Sq}^i(A^j) \subseteq A^{i+j}$  for all nonnegative integers  $i$  and  $j$  with  $j \leq r - 1$ .*

*Proof* It suffices to observe that for each full subring  $B$  of  $H^*(M; \mathbb{Z}/2)$ , one has  $\text{Sq}^i(B^j) \subseteq B^{i+j}$  for all nonnegative integers  $i$  and  $j$ .  $\square$

*Proof of Theorem 1.1* It is already known that (a) implies (b). If  $k$  is an integer satisfying  $1 \leq k \leq r$ , then according to Lemma 2.13, each homology class in  $A_k$  is of the form  $[K]_M$ , where  $K$  is a  $k$ -dimensional connected smooth submanifold of  $M$  that is a boundary. By Lemmas 2.11 and 2.15,  $K$  is adapted to  $A$ . Hence, (b) implies (a) in view of Theorem 2.10.  $\square$

*Proof of Theorem 1.7* If  $k$  is an integer satisfying  $1 \leq k \leq r$ , then according to Lemma 2.13, each homology class in  $A_k$  is of the form  $[K]_M$ , where  $K$  is a  $k$ -dimensional connected smooth submanifold of  $M$  that is a boundary. Moreover, according to Lemma 2.14,  $K$  can be assumed to be an orientable manifold if the homology group  $H_{k-1}(M; \mathbb{Z})$  has no 2-torsion. By Lemmas 2.11 and 2.15,  $K$  is adapted to  $A$  if  $k$  is in  $\{1, \dots, r-2\} \cup \{2\}$ . By Lemmas 2.12 and 2.15,  $K$  is adapted to  $A$  if  $r \geq 4$  and either  $A^{r-3} = 0$  or the homology group  $H_{r-2}(M; \mathbb{Z})$  has no 2-torsion. The proof is complete in view of Theorem 2.10.  $\square$

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